

Performance during October' 09

- Commission disposed 416 cases referred to it for advice.
- Recoveries to the tune of Rs. 69,31,000/- were effected after Commission conducted technical examination of some departments.

2. Action to be taken by the administrative authorities on the Commission's recommendations are given below:-

(i) **Launching of prosecution against 19 officers from:-**

a	M/o Railway	:	6
b	M/o Personnel, P.G & Pensions	:	5
c	Central Board of Excise and Customs	:	4
d	D/o Telecommunications	:	1
e	Govt. of NCT of Delhi	:	1
f	CBI	:	1
g	M/o Labour	:	1

(ii) **Commission advised initiation of major penalty proceedings against 113 officers:**

a	Public Sector Banks	:	32
b	M/o Railways	:	27
c	MCD	:	09
d	Central Coalfields Ltd.	:	06
e	Hindustan Copper Ltd.	:	06
e	M/o Information & Broadcasting	:	05
f	Central Board of Excise and Customs	:	04
g	Damodar Valley Corporation	:	04
h	NHAI	:	03
i	Govt. of NCT of Delhi	:	03
j	M/o Personnel, P.G & Pensions	:	02
k	Mazagon Dock Ltd.	:	02
l	CRPF	:	01
m	CBI	:	01
n	DDA	:	01
o	LIC	:	01
p	M/o Labour	:	01
q	Deptt. of Supply	:	01
r	Deptt. of Atomic Energy	:	01
s	Deptt. of Telecommunications	:	01
t	National Open School	:	01
u	Kendriya Vidyalaya Sangathan	:	01

(iii) **The Commission advised imposition of major penalty against 60 officers:-**

a	Public Sector Banks	:	15
b	DDA	:	13
c	M/o Railways	:	09
d	Central Board of Excise and Customs	:	04
e	MCD	:	04
f	Central Coalfields Ltd.	:	03
g	Hindustan Insecticides Ltd.	:	02
h	United India Assurance Co. Ltd.	:	02
i	D/o Telecommunications	:	02
j	New India Insurance Co. Ltd.	:	01
k	Deptt. of Posts	:	01
l	Cement Corporation of India Ltd.	:	01
m	Deptt. of Science & Technology	:	01
n	Bureau of Indian Standards	:	01
o	Defence Accounts Department	:	01

(iv) **Disposal of complaints in October ' 09**

a	Sent for investigation and report	:	171
b	Sent for necessary action	:	807

Complaints under PIDPI

a	Sent for investigation and report	:	07
b	Sent for necessary action	:	11

(v) **The CTE Organization submitted intensive examination reports in 15 cases during October' 09** of which 08 were in Civil works, 01 in Electrical & 06 in Stores/Purchase. The intensive examination of the CTE Organization resulted in **recoveries of Rs. 69,31,000/- during the month.**

3. Action taken by the administrative authorities on the Commission's recommendations are given below:-

(i) The competent administrative authorities issued **sanctions for prosecution against 14 officers** coming under CVC jurisdiction:

a	M/o Personnel, P.G & Pensions	:	07
b	M/o Defence	:	02
c	M/o Home Affairs	:	02
c	CBDT	:	01

d	CBEC	:	01
e	Corporation Bank	:	01

(ii) **Major penalty was imposed on 63 officers:**

a	DDA	:	16
b	Public Sector Banks	:	13
c	MCD	:	08
d	Indian Oil Corp. Ltd.	:	07
e	Central Coalfields Ltd.	:	06
f	M/o Railway	:	06
g	M/o Commerce	:	03
h	MTNL	:	02
i	M/o Textiles	:	01
j	NHAI	:	01

(List of cases where major penalties were imposed is at Annexure-'A')

(iii) On the basis of advice tendered by the Commission, stiff major penalty has been imposed on the following officers:-

a)	Delhi Development Authority	:	1
b)	M/o Textiles	:	1

(iv) On the basis of advice tendered by the Commission, the following senior officer (of the rank of Jt. Secretary/equivalent and above) were punished during the month:-

a	DoPT	:	3
b	M/o Home Affairs	:	1
c	Bureau of Indian Standards	:	1

Performance upto October, 09

S. No.	Nature of Advice	No. of cases
1.	Prosecution advised	149
2.	Prosecution sanctioned	181
3.	Major penalty proceedings advised	1108
4.	Major penalty advised after inquiry	584
5.	Major penalty imposed	572
6.	Initiation of minor penalty proceedings	701
7.	Imposition of minor penalty advised	173
8.	Minor penalty imposed	606
9.	Exoneration/Closure	1872
10.	Vigilance Clearance granted	318
11.	No. of Intensive examination by CTE organ	92
12.	Recoveries on account of CTE's investigations	61.94 cr.

4. The CBI filed charge-sheets in 108 cases after receipt of sanction of prosecution. CBI also received sanction for prosecution in 39 cases from various Departments. This matter is being closely monitored by the Commission to secure sanction from the organizations concerned in a reasonable period of time, as directed by the Hon'ble Supreme Court. However, sanctions for prosecution are still delayed.

5. During the month, the Commission observed that in two cases penalty imposed by Departments/Organizations were in deviation from the Commission's advice. These were M/o Railways (2).

6. The Commission has been deeply concerned over continuing delays in filling the post of CVOs in several key organizations like Delhi Transport Corporation, Kochi Shipyard Ltd., KIOCL and IRCON. The Government is being regularly reminded.

7. (a) **Brief details about 1st stage advices tendered in r/o Sr. Officers:-**

- i) The Commission has advised initiation of major penalty proceedings against a Director of Ministry of Mines for irregularities in selection and promotion process.
- ii) The Commission has advised launching of prosecution against an IAS officer for fraudulent revival of Co-operative society.
- iii) The Commission has advised launching of prosecution and initiation of major penalty proceedings against an Ex-MD of ORHDC for sanctioning loan to 27 different individuals in a fraudulent manner.

iv) The Commission had advised initiation of major penalty proceedings against an IDAS officer for availing undue LTC facility.

(b) **Brief details about IInd stage advices tendered in r/o Sr. Officers:-**

i) The Commission had advised imposition of a suitable major penalty against the then GM(Retd.) for violation of procedures in approving tender.

ii) The Commission has advised imposition of major penalty against Ex. CMD, CCI for irregularities in procurement of coal from private parties.

8. Circulars/Instructions issued in October, 2009

➤ The Commission vide its circular no. 30/10/2009 dated 29.10.2009 has re-constituted the committee of experts of eminent persons, for scrutiny of reconsideration proposals where the Commission and CBI have advised sanction of prosecution against the Suspected Public Servants.

Annexure-A

List of cases where major penalty has been imposed by the competent authority during October, 2009

S.No.	Name	Designation	Organization	Final order received in the Commission	Penalty Imposed
1	BOREGAONKAR VV	SSE	MINISTRY OF RAILWAYS	01/10/2009	RED IN SAME TIME SCALE BY TWO STAGES FOR A PERIOD OF FOUR MONTHS WITHOUT FUTURE EFFECT
2	MUKHLALPRASAD	SSE	MINISTRY OF RAILWAYS	01/10/2009	RED OF PAY IN THE TIME SCALE OF PAY BY ONE INCREMENT FOR A PERIOD OF SIX MONTHS
3	SINGH PRATAP	AA	MINISTRY OF RAILWAYS	09/10/2009	REDUCTION OF PAY BY LOWER STAGE
4	R K SRIVASTAVA	SE	MINISTRY OF RAILWAYS	09/10/2009	MAJOR PENALTY OF REDUCTION FROM HIS PAY FOR A PERIOD OF 5 YEARS WITH CUMULATIVE EFFECT
5	YOGENDRA SINGH	SE	MINISTRY OF RAILWAYS	09/10/2009	REDUCTION OF PAY BY ONE STAGE FOR A PERIOD OF ONE YEAR AND SIX MONTHS WITH CUMULATIVE EFFECT
6	DAYA RAM	SR DEN	MINISTRY OF RAILWAYS	30/10/2009	10% CUT IN MONTHLY PENSION
7	ASHISH	DGM	NATIONAL HIGHWAY AUTHORITY OF INDIA	15/10/2009	COMPULSORY RETIREMENT WITH IMMEDIATE EFFECT IMPOSED
8	SHIV KUMAR	LDC	MAHANAGAR TELEPHONE NIGAM LIMITED	22/10/2009	REDUCTION BY ONE STAGE IN THE TIME SCALE OF PAY FOR A PERIOD OF ONE YEAR WITH CUMULATIVE EFFECT.
9	N S DABAS	AE(C)	MAHANAGAR TELEPHONE NIGAM LIMITED	22/10/2009	REDUCTION BY ONE STAGE OF TIME SCALE OF PAY FOR ONE YEAR WITH CUMULATIVE EFFECT.
10	SIKKA R K	MMGS-III	STATE BANK OF INDIA	06/10/2009	RED. BY 2 STAGES FOR 1 YR WITH NO INC. AND POSTPONING EFFECT OF

					FUTURE INC.
11	BAJPAI VIVEK	SMGS-V	STATE BANK OF INDIA	06/10/2009	RED. BY 3 STAGES FOR 2 YR WITH NO INC. AND POSTPONING EFFECT OF FUTURE INC.
12	AGGARWAL P K	JMGS-I	STATE BANK OF INDIA	06/10/2009	RED. BY 2 STAGES FOR 1 YR WITH NO INC. AND POSTPONING EFFECT OF FUTURE INC.
13	CHAKRABORTY PARTHASARATHY	MMGS-III	STATE BANK OF INDIA	06/10/2009	RED. BY 1 STAGE FOR 1 YR WITH NO INC. AND POSTPONING EFFECT
14	ROY DHARANI DHAR	MMGS-III	STATE BANK OF INDIA	06/10/2009	RED. BY 1 STAGE FOR 1 YR WITH NO INC. AND POSTPONING EFFECT
15	H R ARYA	OFFICER	CANARA BANK	06/10/2009	RED. BY 3 STAGES FOR 1 YR WITH CUMULATIVE EFFECT
16	T V A R APPRAO	DGM	CANARA BANK	14/10/2009	RED. BY 4 STAGES FOR 4 YRS WITHOUT INC. AND WITH POSTPONING EFFECT
17	KURTAKOTI VD	MANAGER	CANARA BANK	14/10/2009	DISMISSAL WHICH IS A DISQUALIFICATION FOR FUTURE EMPLOY.
18	APARANJI V N	BRANCH MANAGER	CORPORATION BANK	15/10/2009	REDUCTION FROM MIDDLE MANAGEMENT SCALE II WITH PRESENT BASIC PAY OF RS. 15000 P M TO JUNIOR MGM GRADE I
19	MANOJ KUMAR	CLERK	CANARA BANK	16/10/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT.
20	K K DAS	CHIEF MANAGER	CANARA BANK	16/10/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT
21	A K MAHENDRU	AGM	CANARA BANK	20/10/2009	RED. BY 3 STAGES FOR 1 YRS WITH CUMULATIVE EFFECT
22	SATISH PRASAD	OFFICER	CANARA BANK	20/10/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT
23	ASWAL HIRA LAL	JT DGFFT	MINISTRY OF COMMERCE	07/10/2009	WITHHOLDING OF 10% OF MONTHLY PENSION FOR 3

					YEARS
24	SINGH K P	DY. DGFT	MINISTRY OF COMMERCE	07/10/2009	REDUCTION OF PAY BY TWO STAGES FOR ONE YEAR WITHOUT CUMULATIVE EFFECT
25	RK RATANPAL	DY MANAGER	INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
26	RK RATANPAL	DY MANAGER	INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
27	RK RATANPAL	DY MANAGER	INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
28	RAJ PAL SINGH		INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
29	JAGGI N C	SR DM	INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
30	AGGARWAL K	CFM	INDIAN OIL CORPN. LTD.	07/10/2009	WITHHOLDING OF PROMOTION FOR 12 MONTHS FROM THE DATE OF EMPANELMENT
31	MALHOTRA RAKESH	GM	INDIAN OIL CORPN. LTD.	07/10/2009	REDUCTION OF PAY BY ONE STAGE WITH CUMULATIVE EFFECT
32	ANANTWAR SDHAKAR RAMBHAU	DY. CE	CENTRAL COALFIELDS LTD.	16/10/2009	REDUCTION BY TWO STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR
33	TRIPATHI SHYAM BIHARI	DY. CE	CENTRAL COALFIELDS LTD.	22/10/2009	REDUCTION TO A LOWER STAGE WITH IMMEDIATE EFFECT TILL THE DATE OF HIS RETIREMENT I.E 31/10/2009
34	SINGH SATYENDRA NARYAN	SE	CENTRAL COALFIELDS LTD.	22/10/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE FOR A PERIOD OF ONE YEAR
35	SINGH SATYENDRA NARAYAN	SE	CENTRAL COALFIELDS LTD.	22/10/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE FOR A

					PERIOD OF ONE YEAR
36	PRABHAKAR PRABHASH PRASAD	SE	CENTRAL COALFIELDS LTD.	22/10/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE FOR A PERIOD OF ONE YEAR
37	AZAD CHANDRA SHEKHAR SINGH	SE	CENTRAL COALFIELDS LTD.	22/10/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE FOR A PERIOD OF ONE YEAR
38	BAJAJ HARMEET	FACULTY MEMBER NIFT	MINISTRY OF TEXTILES	23/10/2009	REMOVAL FROM SERVICE
39	BHATT RAJESH RAJ	FTDO	MINISTRY OF COMMERCE	30/10/2009	REDUCTION OF PAY BY 2 STAGES FOR 3 YEARS WITH CUMULATIVE EFFECT
40	LALIT GOEL	JE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
41	SURINDER KUMAR	JE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
42	SUBEY PAL	AE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
43	P.K. JAIN	JE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
44	P.K. JAIN	AE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
45	SAMINDER SINGH NEGI	EE	M.C.D.	01/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
46	MATHUR S.S.	A.D	DELHI DEVELOPMENT AUTHORITY	07/10/2009	10% CUT IN PENSION FOR ONE YEAR
47	D.D. SHARMA	CE	DELHI DEVELOPMENT	08/10/2009	5% CUT IN PENSION FOR ONE

			AUTHORITY		YEAR
48	AHMED RAFIQ	AE	M.C.D.	15/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
49	KUMAR RAM	JE	M.C.D.	15/10/2009	REDUCTION IN PAY IN THE PRESENT TIME SCALE BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
50	D.S. GUPTA	AE	DELHI DEVELOPMENT AUTHORITY	16/10/2009	5% CUT IN PENSION FOR ONE YEAR
51	D.S. GUPTA	AE	DELHI DEVELOPMENT AUTHORITY	16/10/2009	5% CUT IN PENSION FOR ONE YEAR
52	A.K. SHARMA	SE	DELHI DEVELOPMENT AUTHORITY	16/10/2009	5% CUT IN PENSION FOR ONE YEAR
53	ASHOK KUMAR	JE	DELHI DEVELOPMENT AUTHORITY	16/10/2009	RED OF ONE INCREMENT W CUM EFFECT TO A LOWER STG FOR A PERIOD OF 6 MONTHS W IMM EFFECT
54	B S NEGI	F I	DELHI DEVELOPMENT AUTHORITY	20/10/2009	RED OF PAY BY 6% OF HER BASIC PAY + GD IN THE TIME SCALE OF PAY WITH CUMM EFFECT
55	CHAMAN LAL	ASSTT.	DELHI DEVELOPMENT AUTHORITY	20/10/2009	5% CUT IN PENSION FOR TWO YEAR
56	JAI PAL SINGH	U D C	DELHI DEVELOPMENT AUTHORITY	20/10/2009	RED OF PAY BY 6% OF HER BASIC PAY + GD IN THE TIME SCALE OF PAY WITH CUMM EFFECT
57	PRAKASH VED	SUPDT.	DELHI DEVELOPMENT AUTHORITY	21/10/2009	RED OF PAY BY TWO STAGES UPTO THE DATE OF HIS RETIREMENT WITHOUT CUMULATIVE EFFEC
58	MAHANDER KUMAR	ACCTT.	DELHI DEVELOPMENT AUTHORITY	22/10/2009	RD OF PAY BY 2 STAGES WITH CUM EFFECT FOR TWO YEARS
59	SMT. ASHA GUPTA	F I	DELHI DEVELOPMENT AUTHORITY	22/10/2009	RED OF PAY BY 6% OF HER BASIC PAY + GRADE PAY 2 INC OFR 2 YERS IN THE TIMEC SCALE OF PAY W C EFFECT

60	H R SHARMA	SR. A O	DELHI DEVELOPMENT AUTHORITY	22/10/2009	STOPPAGE OF TWO INCREMENTS FOR 2 YEARS WITH CUMM EFFECT
61	N.K. GUPTA	SE(RETD.)	DELHI DEVELOPMENT AUTHORITY	22/10/2009	5% CUT IN PENSION FOR ONE YEAR
62	S.K. GULATI	AAO	DELHI DEVELOPMENT AUTHORITY	22/10/2009	RED BY TWO STAGES IN THE PAY SCALE IMMEDIATELY FOR A PERIOD OF 2 YRS W.C.EFFECT
63	R.S. GOYAL	EE	DELHI DEVELOPMENT AUTHORITY	28/10/2009	5% CUT IN PENSION FOR ONE YEAR

