

Performance during November' 09

- Commission disposed 470 cases referred to it for advice.
- Recoveries to the tune of Rs. 5,22,62,869/- were effected after Commission conducted technical examination of some departments.

2. Action to be taken by the administrative authorities on the Commission's recommendations are given below:-

(i) **Launching of prosecution against 9 officers from:-**

a	Central Board of Direct Taxes	:	2
b	M/o Personnel, P.G & Pensions	:	2
c	Central Board of Excise and Customs	:	2
d	M/o Railways	:	1
e	M/o Small Scale Industry	:	1
f	M/o Home Affairs	:	1

(ii) **Commission advised initiation of major penalty proceedings against 69 officers:**

a	Public Sector Banks	:	16
b	M/o Railways	:	13
c	Northern Coalfields Ltd.	:	11
d	Steal Authority of India Ltd.	:	04
e	Mazagon Dock Ltd.	:	03
e	EPFO	:	03
f	Central Board of Excise and Customs	:	02
g	DDA	:	02
h	Central Board of Direct Taxes	:	02
i	Deptt. of Supply	:	02
j	Bharat Heavy Electricals Ltd.	:	01
k	DSIIDC	:	01
l	Employees State Insurance Corp.	:	01
m	United India Insurance Co. Ltd.	:	01
n	M/o Personnel, P.G & Pensions	:	01
o	Ministry of Home Affairs	:	01
p	M/o External Affairs	:	01
q	M/o Small Scale Industry	:	01
r	D/o Agriculture & Cooperation	:	01
s	D/o Coal	:	01
t	Council for Advancement of Peoples	:	01

(iii) **The Commission advised imposition of major penalty against 74 officers:-**

a	M/o Railways	:	22
b	Central Coalfields Ltd.	:	15
c	Public Sector Banks	:	14
d	DDA	:	04
e	Central Board of Excise and Customs	:	04
f	M/o Defence	:	02
g	EPFO	:	02
h	D/o Agriculture & Cooperation	:	02
i	M/o Personnel, P.G & Pensions	:	01
j	M/o Home Affairs	:	01
k	M/o External Affairs	:	01
l	DTC	:	01
m	Kendriya Vidyalaya Sangathan	:	01
n	Indian Council of Agricultural Research	:	01
o	United India Insurance Co. Ltd.	:	01
p	New India Assurance Co. Ltd.	:	01
q	D/o Telecommunication	:	01

(iv) **Disposal of complaints in November ' 09**

a	Sent for investigation and report	:	142
b	Sent for necessary action	:	728

Complaints under PIDPI

a	Sent for investigation and report	:	06
b	Sent for necessary action	:	11

(v) **The CTE Organization submitted intensive examination reports in 19 cases during November' 09** of which 09 were in Civil works, 08 in Electrical & 02 in Stores/Purchase. The intensive examination of the CTE Organization resulted in **recoveries of Rs. 5,22,62,869/- during the month.**

3. Action taken by the administrative authorities on the Commission's recommendations are given below:-

(i) The competent administrative authorities issued **sanctions for prosecution against 3 officers** coming under CVC jurisdiction:

a	Indian Overseas Bank	:	01
b	Central Board of Excise and Customs	:	01

c D/o Defence Production : 01

(ii) **Major penalty was imposed on 70 officers:**

a Central Coalfields Ltd. : 18
b Public Sector Banks : 15
c DDA : 14
d D/o Telecommunications : 07
e M/o Railway : 05
f M/o Urban Development : 03
g D/o Posts : 01
h Central Board of Excise and Customs : 01
i Council for Advancement of Peoples : 01
j M/o Information & Broadcasting : 01
k Airport Authority of India : 01
l M/o Personnel, P.G & Pensions : 01
m CBI : 01
n CRPF : 01

(List of cases where major penalties were imposed is at **Annexure-‘A’**)

(iii) On the basis of advice tendered by the Commission, stiff major penalty has been imposed on the following officers:-

a) Union Bank of India : 1

Performance upto November, 09

S. No.	Nature of Advice	No. of cases
1.	Prosecution advised	158
2.	Prosecution sanctioned	184
3.	Major penalty proceedings advised	1177
4.	Major penalty advised after inquiry	658
5.	Major penalty imposed	642
6.	Initiation of minor penalty proceedings	739
7.	Imposition of minor penalty advised	190
8.	Minor penalty imposed	665
9.	Exoneration/Closure	2123
10.	Vigilance Clearance granted	331
11.	No. of Intensive examination by CTE organ	111
12.	Recoveries on account of CTE’s investigations	67.17cr.

4. The **CBI filed charge-sheets in 110 cases** after receipt of sanction of prosecution. CBI also **received sanction for prosecution in 53 cases** from various Departments. This matter is being closely monitored by the Commission to secure sanction from the organizations concerned in a reasonable period of time, as directed by the Hon'ble Supreme Court. However, sanctions for prosecution are still delayed.

5. During the month, the Commission observed that in **five** cases penalty imposed by Departments/Organizations were in **deviation** from the Commission's advice. These were M/o Railways (2), M/o Culture (1) and Central Board of Excise and Customs (2).

6. The Commission has been deeply concerned over continuing **delays in filling the post of CVOs** in several key organizations like Delhi Transport Corporation, IREDA and KIOCL. The Government is being regularly reminded.

7. (a) **Brief details about 1st stage advices tendered in r/o Sr. Officers:-**

i) The Commission has advised initiation of major penalty proceedings against a CE in a matter relating to alleged irregularities in the construction of Udyog Sadan Building at Patparganj, New Delhi.

ii) The Commission has advised launching of prosecution against an IAS officer for fraudulent revival of Co-operative society.

iii) The Commission has advised initiation of major penalty proceedings against a Jt. Secretary for irregular purchase of inadmissible items, irregular re-imbursement claim, irregular recruitment, transportation of personal effect etc.

iv) The Commission had advised launching of prosecution and initiation of major penalty proceedings against an IPS officer for defalcated Govt. amount of Rs. 13,31,270/- and used the same for personal purpose.

v) The Commission had advised initiation of major penalty proceedings against an Ex DG, OFB for irregular supply of carbines manufactured by M/s ST Kinetics, Singapore.

(b) **Brief details about IInd stage advices tendered in r/o Sr. Officers:-**

i) The Commission had advised imposition of a penalty of censure to a Director, KIOCL, D/o Steel for irregularities in sale of auxiliary material (a product of pig iron in KISCO) below market prices.

8. During the month, the Commission, while monitoring the vigilance administration in various organizations, found certain shortcomings and advised for **Procedural/Systemic improvements** in the organizations as per details given below:

➤ In the matter relating to alleged irregularities in the purchase of various items of Software/Hardware for Office Automation Project in NSIT, GNCTD was advised to expeditiously issue appropriate directions to NSIT to undertake requisite amendments in the Stores Purchase Procedure of NSIT so that it is brought in line with the guidelines laid down by the Commission as well as by Government of India, on the subject.

9. **Circulars/Instructions issued in November, 2009**

➤ The Commission vide its circular no. 31/10/2009 dated 09.11.2009 has directed all Ministry/Department to intimate the Commission, regarding its formulation of Purchase Preference Policy pursuant to the DPE Guidelines, if any.

Annexure-A

List of cases where major penalty has been imposed by the competent authority during November, 2009

S.No.	Name	Designation	Organization	Final order received in the Commission	Penalty Imposed
1	BHUPENDRA KUMAR	SE	MINISTRY OF RAILWAYS	04/11/2009	RED OF PAY BY ONE STAGE IN THE SAME TIME SCALE OF PAY FOR A PERIOD OF 48 MONTHS WITHOUT CUMULATIVE EFFECT
2	SHEIKH UM	DEE	MINISTRY OF RAILWAYS	10/11/2009	REDUCTION BY ONE STAGE LOWER IN PRESENT TIME SCALE OF PAY TILL HIS RETIREMENT WITH IMMEDIATE EFFECT
3	RATAN LAL	HC	MINISTRY OF RAILWAYS	11/11/2009	REDUCTION OF PAY TO LOWER STAGE FOR A PERIOD OF TWO YEARS WITH CUMULATIVE EFFECT
4	P MAHTO	SR STORES CLERK	MINISTRY OF RAILWAYS	24/11/2009	RED TO LOWER STAGE IN TIME SCALE OF PAY BY ONE STAGE FOR A PERIOD OF 6 MONTHS WITH CUMULATIVE EFFECT
5	S C DIXIT	AWM	MINISTRY OF RAILWAYS	26/11/2009	10% CUT IN PENSION FOR A PERIOD OF 1 YEAR
6	SETHUMURUGAN AS	SSPOS	DEPARTMENT OF POSTS	12/11/2009	100% CUT IN PENSION ON A PERMANENT BASIS.
7	SHAIKH M A	SR TOA	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	REDUCTION OF PAY BY THREE STAGES FOR A PERIOD OF THREE YEAR WITH CUMULATIVE EFFECT.
8	V YADAGIRI	TM	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	REDUCTION OF PAY BY FOUR STAGES FOR A PERIOD OF FOUR YEARS WITH CUMMULATIVE EFFECT. (REC. 24.11.2009)
9	RAO I SESHAGIRI	SDE	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	SUSPENSION FROM THE SERVICES (REC. 24/11/2009)
10	RATHOD V N	SDE	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	REDUCTION OF PAY BY THREE STAGES FOR TILL RETIREMENT DATED 30.4.2010. (REC. 24.11.2009)
11	PUJANNA G	THEN SDE(G)	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	REDUCTION OF PAY BY ONE STAGE FOR A PERIOD OF ONE YEAR WITH CUMULATIVE EFFECT.

12	PAREJIYA M K	SDE	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	REDUCTION OF PAY BY THREE STAGES FOR A PERIOD OF TWO YEARS WITH CUMMULATIVE EFFECT. (REC. 24.11.2009)
13	KAPADIA M J	SDE	DEPTT. OF TELECOMMUNICATIONS	24/11/2009	COMPULSORY RETIREMENT.
14	NANDLAL	MANAGER (ENGG)	AIRPORTS AUTHORITY OF INDIA	26/11/2009	REDUCED IN PAY .BY TWO STAGES IN THE TIME SCALE OF PAY FOR PERIOD OF 2 YRS
15	A S NARASIMHAN	THEN C.M.	BANK OF INDIA	12/11/2009	RED. IN PS BY 1 STAGE UP TO ANS TERMINAL BENEFITS ADVERSELY
16	A J SUNDARAM	THEN DCM	BANK OF INDIA	12/11/2009	RED. TO A LOWER STAGE BY 2 STAGES IN TIME SCALE OF PAY.III UPTO AND INCLUDING DTOF SUP.
17	YASHPAL	MMGS-II	STATE BANK OF INDIA	17/11/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT
18	SURESH CHAND	MMGS-II	STATE BANK OF INDIA	17/11/2009	RED. BY 2 STAGES FOR 2 YRS WITH CUMULATIVE EFFECT
19	AJAY BANSAL	MANAGER	UNION BANK OF INDIA	24/11/2009	RP BY 2 STAGES FOR 2 YEARS WCE
20	S P SHARMA	BR.MGR	UNION BANK OF INDIA	24/11/2009	DISMISSAL FROM SERVICE
21	T P BHATNAGAR	AGM	UNION BANK OF INDIA	24/11/2009	RP BY 2 STAGES FOR 2 YEARS WCE
22	ANIL KAPOOR	CM	UNION BANK OF INDIA	24/11/2009	RP BY 2 STAGES FOR 2 YEARS WCE
23	T K DUTTA	CM	UNION BANK OF INDIA	24/11/2009	RP BY 2 STAGES FOR 2 YEARS WCE
24	S N GARG	SR.MGR.	UNION BANK OF INDIA	24/11/2009	RP BY 2 STAGES FOR 2 YEARS WCE
25	B S RAJSHEKAR	OFFICER	CANARA BANK	24/11/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT
26	A RMUKHERJEE	SR/MGR.	CANARA BANK	24/11/2009	RED. TO LOWER SCALE IE FROM MMG III TO MMG II WITH LOWEST BASIC
27	A GANGADHARAN	MANAGER	CANARA BANK	24/11/2009	RED. BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT
28	CHAVDA KD	CHIEF MANAGER	STATE BANK OF INDIA	24/11/2009	RED.BY 2 STAGES TILL RETIREMENT & WITH CUMULATIVE EFFECT
29	RANA SC	JMGS-I	STATE BANK OF INDIA	26/11/2009	RED.BY 1 STAGE FOR 1 YR WITH CUMULATIVE EFFECT.
30	YASHVEER SINGH	INSPR	CENTRAL BOARD OF EXCISE & CUSTOMS	25/11/2009	REDUCTION BY ONE STAGE IN PAY SCALE FOR A PERIOD OF ONE YEAR WITH CUMULATIVE EFFECT

31	SAHU RN	THEN PROJECT OFFICER	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
32	SINGH RA	GM	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
33	MURARI KRISHNA	MANAGER	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
34	SINGH UMA SHANKAR	CME	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY ONE STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
35	SINGH RAM CHANDRA	PROJECT ENGINEER	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY TWO STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
36	SHARMA ANVL KANAKADRI	AREA FIN MANAGER	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY TWO STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
37	KUMAR RAM BILAS	SO	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY TWO STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
38	SINGH INDERJIT	SE	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY TWO STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WIIL EARN HIS FUTURE INCREMENTS
39	GUPTA ANIIL	GM	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION BY ONE STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
40	AGGARWAL VISHNU KUMAR	DY CME	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER GRADE FOR A PERIOD OF ONE YEAR AFTER HE WILL REGAIN HIS ORIGINAL SENIORITY
41	YADAV BASUDEO PRASAD	DY CME	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER GRADE FOR A PERIOD OF ONE YEAR AFTER HE WILL REGAIN HIS ORIGINAL SENIORITY
42	SAMIR KUMAR PATRA	SE(E&M)	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTIO TO A LOWER STAGE IN TIME SCALE FOR A PERIOD OF ONE YEAR, AFTER EXPIRY HE WILL CONTINUE TO

					EARN HIS FUTURE INCREMENT
43	JITENDRA KUMAR TIWARI	SR FINANCE OFFICERS	CENTRAL COALFIELDS LTD.	23/11/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE FOR A PERIOD OF ONE YEAR AFTER EXPIRY HE WILL CONTINUE TO EARN HIS FUTURE INCREMENT.
44	PAUL PRADYUT KUMAR	DY. CHIEF MANAGER	CENTRAL COALFIELDS LTD.	26/11/2009	REDUCTION TO A LOWER STAGES IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
45	NATH NRIPENDRA	SUPDT.	CENTRAL COALFIELDS LTD.	26/11/2009	REDUCTION TO A LOWER STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
46	KUMAR PROMOD	SUPDT.	CENTRAL COALFIELDS LTD.	26/11/2009	REDUCTION TO A LOWER STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
47	SINGH ANAND PRAKASH	SUPDT.	CENTRAL COALFIELDS LTD.	26/11/2009	REDUCTION TO A LOWER STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
48	SINGH SATYA NARAIN	CHIEF MINING ENGINEER	CENTRAL COALFIELDS LTD.	26/11/2009	REDUCTION TO A LOWER STAGE IN A TIME SCALE FOR A PERIOD OF ONE YEAR AFTER HE WILL EARN HIS FUTURE INCREMENTS
49	SH INDURKAR	DY. DIRECTOR	COUNCIL FOR ADVANCEMENT OF PEOPLES	05/11/2009	REDUCTION OF PAY BY TWO STAGES WITH CUMULATIVE EFFECTS.TILL HIS SUPERANNUATION
50	V D NANDA	JT. F A	DELHI DEVELOPMENT AUTHORITY	04/11/2009	5% CUT IN PENSION FOR ONE YEAR
51	V D NANDA	JT FAH	DELHI DEVELOPMENT AUTHORITY	04/11/2009	5% CUT IN PENSION FOR ONE YEAR
52	V.K. SINGHAL	DIRECTOR	DELHI DEVELOPMENT AUTHORITY	04/11/2009	5% CUT IN PENSION FOR ONE YEAR
53	O.P. AHLAWAT	THEN AD NOW DD	DELHI DEVELOPMENT AUTHORITY	04/11/2009	10% CUT IN PENSION FOR ONE
54	O.P. AHLAWAT	DY. DIRECTOR	DELHI DEVELOPMENT AUTHORITY	04/11/2009	5% CUT IN PENSION FOR ONE YEAR
55	P.K. SHARMA	SE THEN EE	DELHI DEVELOPMENT AUTHORITY	04/11/2009	5% CUT IN PENSION FOR ONE YEAR
56	SINGHLA S.K.	JE	DELHI DEVELOPMENT AUTHORITY	10/11/2009	REDUCTION OF PAY BY 2 STAGES IN THE TIME SCALE OF PAY FOR A PERIOD

					OF 1 YEAR WITH CUMULATIVE EFFECT
57	P.K. MALHOTRA	JE	DELHI DEVELOPMENT AUTHORITY	10/11/2009	REDUCTION OF PAY BY 2 STAGES IN THE TIME SCALE OF PAY FOR A PERIOD OF 1 YEAR WITH CUMULATIVE EFFECT
58	I.KHAN	JE	DELHI DEVELOPMENT AUTHORITY	10/11/2009	WITHHOLDING ONE INCREMENT WITHOUT CUMULATIVE EFFECT
59	K.K. SHARMA	JE	DELHI DEVELOPMENT AUTHORITY	10/11/2009	WITHHOLDING ONE INCREMENT WITHOUT CUMULATIVE EFFECT
60	A.K. BHALLA	DY. DIRECTOR	DELHI DEVELOPMENT AUTHORITY	11/11/2009	5% CUT IN PENSION FOR ONE YEAR
61	PURI ANIL	SE	MINISTRY OF URBAN DEVELOPMENT	16/11/2009	50% CUT IN PENSION ON PERMANENT BASIS
62	A.K. CHADHA	THEN AD NOW DD	MINISTRY OF URBAN DEVELOPMENT	18/11/2009	5% CUT IN THE MONTHLY PENSION FOR A PERIOD OF ONE YEAR
63	M L AHUJA	A D	DELHI DEVELOPMENT AUTHORITY	25/11/2009	10% CUT IN PENSION FOR ONE YEAR
64	S C CHUGH	A D	DELHI DEVELOPMENT AUTHORITY	25/11/2009	10% CUT IN PENSION FOR ONE YEAR
65	MAISIE SAMSUR	UDC	DELHI DEVELOPMENT AUTHORITY	25/11/2009	RED 3% OF HIS BASIC PAY + GRADE PAY IN THE TIME SCALE OF HIS PAY WITH CUM EFFECT
66	K.S. NAGAR	DY. DIR (HORT.)	MINISTRY OF URBAN DEVELOPMENT	25/11/2009	5% CUT IN PENSION FOR ONE YEAR
67	BEG M A	ASST. CONTR. OF PRGMS	MINISTRY OF INFORMATION & BROADCASTING	03/11/2009	REDUCTION TO A LOWER STAGE IN TIME SCALE OF PAY FOR A PERIOD OF THREE YEARS
68	OM VIR SINGH	UNDER SECRETARY	MINISTRY OF PERSONNEL, P.G. & PENSIONS	05/11/2009	REDUCTION BY TWO STAGES FOR 2 YEARS WITH CUMULATIVE EFFECT
69	SHARMA B.N.	ADDL. SP, CBI	CENTRAL BUREAU OF INVESTIGATION	06/11/2009	COMPLETE CUT IN PENSION ON A PERMANENT BASIS
70	J.K. MISHRA	ASSTT. COMMDT., CRPF	CENTRAL RESERVE POLICE FORCE	06/11/2009	REDUCTION BY FOUR STAGES FOR 4 YEARS WITH CUMULATIVE EFFECT

